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HiPP Code on Interaction with Healthcare Professionals

-Version December 2024-

Protection Class: *Public*



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1 PREAMBLE

HiPP strongly supports efforts to ensure that every infant has access to – and receives – optimal nutrition. While breastmilk is the best source of nutrition in early life, there are certain situations when breastfeeding might not be possible or might not be chosen by the parents.

HiPP acknowledges the importance and respects the aim and principles of the World Health Organisation's 1981 International Code of Marketing of Breast-Milk Substitutes (WHO Code). The stated aim of the WHO Code is to contribute to the provision of safe and adequate nutrition for infants, by the protection and promotion of breastfeeding, and by ensuring the proper use of breastmilk substitutes, when these are necessary, on the basis of adequate information and through appropriate marketing and distribution.

Through a continual process of research and development, HiPP works to innovate safe, nutritious and scientifically advanced foods to meet the special needs of Infants. HiPP also develops specially formulated products to address specific nutritional requirements which are helping to improve treatments, survival rates and long-term outcomes of premature babies and infants who suffer from diseases, disorders or medical conditions.

HiPP is committed to appropriate communication with Healthcare Professionals (HCPs) directly or through HCPs' affiliate Healthcare Organisations (HCOs) about Products for Infants. Appropriate communication enables HCPs to obtain accurate, science-based information on latest innovations, formulations and Products, thereby enabling HCPs to support caregivers in making appropriate nutritional choices for Infants who are healthy and those who suffer from diseases, disorders or medical conditions, in a manner that protects breastfeeding.

Through adoption of this Code on Interaction with Healthcare Professionals, HiPP seeks to comply with the Code of Practice established by the industry association "Specialised Nutrition Europe" (SNE Code of Practice). Furthermore, HiPP seeks to promote ethical and fair business practices that are clear, unambiguous, and transparent, and that help advance infant nutrition and long-term health.

In the interest of consumers and patients, HCPs should have access to the expertise which HiPP acquired when developing specialised foods for infants in order to ensure an appropriate use of these products and, as regards Food for Special Medical Purposes intended for infants, allow HCPs to assess properly the suitability of the Products for their intended use and to exercise appropriate medical supervision over the use of the Products.



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2 SCOPE

2.1 This Code lays down best practices for all HiPP employees and HiPP distributors. It covers any interaction listed in this Code, organised or sponsored by HiPP and its distributors and addressed to HCPs, HCOs or health care facilities regarding the following Products:

- (1) Infant Formula (IF);
- (2) Follow-on Formula (FOF); and
- (3) Foods for Special Medical Purposes intended for infants (iFSMP).

2.2 Where indicated, some parts of this Code apply only to IF and FOF. The provisions of this Code on Interaction with HCPs shall not prevent HiPP from providing appropriate information about the Products to HCPs in order to ensure an appropriate use of the Products and, as regards iFSMPs, an adequate medical supervision. Furthermore, the provisions of this Code on Interaction with HCPs shall not prevent HCPs from assessing the safety, efficacy and suitability of Products for their intended use in collaboration with HiPP and its distributors.

2.3 HiPP and its distributors comply with applicable laws and regulations in the countries where HiPP and its distributors do business.

2.4 In the event of a conflict between any applicable laws and regulations and this Code, the laws and regulations shall prevail.

2.5 The Code is not intended to address or regulate commercial terms and conditions relating to the price, sale and distribution of products and services, which must always be in compliance with applicable laws and regulations.

3 PROMOTION OF PRODUCTS TO HCPS

HiPP and its distributors may promote Products by providing relevant information to HCPs to assist in their decision-making. Materials and information must comply with local requirements in every country in which they are used or disseminated.

3.1 Acceptability of Promotion

HiPP and its distributors must maintain high ethical standards at all times. Promotion:

- a. should not discredit or undermine confidence in HiPP and its distributors; and
- b. must be of a nature which recognises the special nature of the Products and the professional standing of the intended audience.
- c. must not discourage from breastfeeding or feeding breastmilk to Infants.

3.2 Promotion and its Substantiation

- a. Promotion must be accurate, science-based, balanced, fair, objective and sufficiently complete to enable the HCP to form his/her own opinion of the value of the Products concerned. It must not mislead by distortion, exaggeration, undue emphasis, omission or in any other way.
- b. Promotion must be transparent and must not be disguised.
- c. Promotion must be capable of substantiation which must be promptly provided in response to reasonable requests from HCPs. In particular, statements must be based on, and reflect, available medical or scientific evidence.
- d. When Promotion refers to published studies, clear references must be given.
- e. Any comparison made between different Products must be based on relevant and comparable aspects of the Products and must not be misleading or disparaging.
- f. Promotion must be consistent with the user information and recommendations on the label and the packaging of the Products.

3.3 Promotional Materials

- a. Promotional Material relating to the Products must clearly indicate the company or organisation that commissioned the material.
- b. Quotations from medical and scientific literature, from HCPs, as well as quotations from personal communications included in the Promotional Material must be faithfully reproduced and the precise source(s) identified.
- c. Any written Promotional Material addressed to HCPs should include:
 - (1) the date on which it was developed or last revised,
 - (2) a statement that the material is for HCPs only,
 - (3) any other information required to identify the product and its intended use – for example for iFSMPs the target patient population;
- d. All artwork, including graphs, illustrations, photographs and tables taken from published studies included in Promotional Material must:
 - (1) clearly indicate the precise source(s) of the artwork;
 - (2) be faithfully reproduced, except where adaptation or modification is



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required, in which case it must be clearly stated that the artwork has been adapted and/or modified.

4 HOSPITALITY, GIFTS AND GRANTS

4.1 Prohibition of Gifts

- a. No gift, benefit in kind, or monetary advantage shall be offered to HCPs or their families as an inducement for the supply, recommendation, use or sale of the Products or for the purpose of promoting the Products.
- b. HCPs may be given practice-related items, such as pens or notepads, provided it is allowed under local rules and such items are of a minimal value that cannot be considered as an inducement in the local context.
- c. If allowed under applicable laws and regulations, an inexpensive gift not related to the HCPs practice may be given on an infrequent basis in acknowledgment of significant national, cultural or religious events.

4.2 In-Kind Donations and Financial Grants

- a. In-kind donations of Products, medical equipment and financial grants may be given to HCPs, HCOs, educational or research institutions to support medical treatment, genuine independent research, advancement of science, education, in relation to Infant nutrition and in particular to the Products. However, it is important that support of these programmes and activities is not viewed as a price concession, reward to favoured HCPs or inducements to recommend, prescribe, purchase, supply, use or sell any particular products or services. Therefore, appropriate documentation should be made in respect of all grants and donations provided under this section.
- b. Grants and donations shall comply with all aspects of relevant codes of conduct.
- c. Grants and donations shall not be tied in any way to the use of any Product by HCPs or their institutions.
- d. When a grant or donation is provided, a written agreement should be put in place between the provider and the recipient of the grant or donation. The agreement should
 - (1) specify the nature of the amount of the grant or donation, and its purpose (e.g., conduct of a specific research project); and
 - (2) require that the recipient acknowledges clearly and publicly the donation or grant and the donor of this support (e.g., in the

publication or the presentation of the results of the research project supported by the donation or grant).

5 INTERACTIONS WITH HCPS REGARDING LIFELONG LEARNING ACTIVITIES

The purpose of this section is to avoid that HCPs are unduly influenced in the choice of Products they recommend or prescribe.

5.1 Lifelong Learning Activities in Specialised Nutrition & Healthcare

- a. Lifelong learning activities must not be conditional upon an obligation to recommend, prescribe, purchase, supply, administer or sell any Products.
- b. Lifelong learning activities shall comply with all relevant aspects of applicable codes of conduct, ethical rules or statutes of HCPs and their institutions.
- c. When lifelong learning activities are organised or funded by HiPP and its distributors, directly or in collaboration with certified providers of medical education according to national & international guidance, the organiser or funder of the lifelong learning activity should:
 - (1) ensure transparency, when supporting conferences and congresses focused on professional development, education, and training for HCPs, according to Medical Societies' Codes and national laws;
 - (2) enter into a documented arrangement with the providers of any Lifelong Learning activities to ensure that they disclose any conflict of interest – in any publication or presentation, or to the audience of any other event – including any collaboration, or other financial support;
 - (3) only reimburse HCPs for reasonable travel, meals, accommodation and registration fees. As a general rule, the level of reimbursements may not exceed what HCP recipients would normally be prepared to pay for themselves; no payments must be made to compensate HCPs for time spent in attending lifelong learning activities;
 - (4) not give payments to individuals accompanying invited HCPs (e.g., spouses, children or other relatives), neither shall they be allowed to attend any of the activities or any hospitality, such as meals or coffee breaks, provided during these activities unless such individuals independently qualify for payment of such costs in their own right;
 - (5) hold all lifelong learning activities in an appropriate venue that is conducive to the scientific or educational objectives and the purpose

of the meeting; use of extravagant and lavish venues must be avoided;

(6) not provide or pay for stand-alone entertainment or other leisure or social activities.

d. HiPP and its distributors may pay reasonable fees (as considered in the context of the HCP's home market) and reimburse out of pocket expenses, including travel and accommodation, to HCPs who are providing genuine services as speakers, presenters or moderators on the basis of a written contract.

e. The public use of an HCP's or HCO's logo and/or proprietary material by HiPP and its distributors requires written permission from the HCP or HCO. In seeking such permission, the specific purpose and the way the logo and/or proprietary material will be used must be clearly stated in a written agreement.

5.2 Overview of the categories of Medical Education and Lifelong Learning Activities

For the purpose of this Code on Interaction with HCPs, the following three categories are defined in the section definitions and abbreviations and are detailed in the sections below:

Category 1: Continuing Medical Education (CME) provided by independent non-industry organisations, as detailed in section 5.3 below.

Category 2: Lifelong Learning provided through collaborations or partnerships, as detailed in section 5.4 below.

Category 3: Lifelong Learning provided by HiPP and its distributors, as detailed in section 5.5 below.

5.3 Category 1: Continuing Medical Education

a. Lifelong learning activities providing CME can be organised or funded, directly or in collaboration with certified providers of medical education according to national & international guidance.

b. Lifelong learning activities providing CME points are solely organised by certified provider entities which are neither owned nor controlled by HiPP and its distributors.

c. HiPP and its distributors acknowledge that CME education is provided under the direction and supervision of accredited providers. This can include medical societies or other specialty societies, HCOs, education providers, or other independent stakeholders – with or without accreditation of CME or Continuous Professional Development (CPD).

5.4 Category 2: Lifelong Learning provided through collaborations or partnerships

a. Lifelong Learning provided through collaborations or partnerships between HiPP and its distributors and independent providers led by professional societies, Healthcare Organisations, educational providers, or other independent stakeholders. Where there is such a collaboration, the following should be documented in a joint written agreement:

- (1) the goals of such collaboration;
- (2) the structure of the collaboration;
- (3) the respective roles and responsibilities of the entities involved, and
- (4) whether the event may provide CME points for maintenance of professional accreditation.

b. The programme and content of this CME activity is subject to prior review and approval of the recognised, independent provider.

c. The exchange of up-to-date scientific knowledge in the most objective way among HCPs and experts should be facilitated.

5.5 Category 3: Lifelong Learning organised by HiPP and its distributors

Lifelong learning organised by the HiPP and its distributors may address human health and disease-specific learning needs. These activities may involve HCPs, scientific committees, and/or independent scientific and professional organisations.

Ownership, accountability and funding remains with HiPP and its distributors. Such events:

- a. may use the brand names of Products only together with scientific data;
- b. may not provide CME points for maintenance of professional accreditation, unless meeting the requirements established in section 5.4.

5.6 Educational Materials for HCPs

HiPP and its distributors can provide Educational Materials to HCPs inter alia at medical conferences. Such materials discuss the latest research findings, treatment guidelines, and nutritional interventions for specific conditions. This type of material should be helpful to HCPs who are looking to stay up to date with the latest developments in the field of nutrition.

Therefore:

- a. Educational Materials should include a statement indicating that they are intended for use by HCPs only.
- b. Educational Materials should not be presented in such a way as to discourage HCPs from recommending breastfeeding to caregivers.



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- c. Educational Materials should not be misleading and should be balanced, accurate, supported by sound science and compliant with applicable law.
- d. Educational Materials can include the name of HiPP and its distributors.
- e. Specific information on Products in educational material (incl. brand name) should only be directed to HCPs whose need for, or interest in, the particular information can reasonably be assumed (for example for usage in clinical studies).

5.7 Products for Professional Evaluation for IF and iFSMP

- a. Products for Professional Evaluation (PFPE) for IF and iFSMP may only be provided by HiPP and its distributors to HCPs for the purpose of allowing the HCP to become more familiar with a product or recipe, and, when relevant, and in particular in the case of iFSMP, to gain experience on efficacy, including evaluating suitability, tolerance, acceptability, and clinical response. PFPEs are not intended for repeated or extended consumption by the Infant. Distribution of PFPEs shall be strictly limited in regularity and quantity to avoid excessive allocation of PFPEs to an HCP.
- b. PFPEs should never be distributed to HCPs with the purpose to discourage caregivers from feeding breastmilk to an Infant.
- c. PFPEs should not be provided by HiPP and its distributors directly to the general public, including pregnant women and mothers of Infants.
- d. PFPEs should not be distributed to HCPs as an incentive to purchase or resell or recommend a particular Product. Clear information should be provided that it is a "Sample for Professional Evaluation" or "Not for Resale", or any similar indication, except in exceptional circumstances where, in the context of a specific patient or a specific clinical circumstance, an HCP needs to request an emergency supply or access to iFSMP for professional evaluation.
- e. All distribution of PFPEs should be in response to a request from the HCP. HiPP and its distributors should document the amount of the delivered PFPEs and the HCP shall agree that:
 - (1) The requested PFPEs for IF is to become more familiar with a product or recipe.
 - (2) The requested PFPEs for iFSMP is solely for purposes of evaluating tolerance, acceptability, efficacy, suitability and/or clinical response, as relevant.
 - (3) The HCP is aware of the obligations set forth under the relevant laws of the country.
 - (4) The PFPE for IF or iFSMP is not being provided as an incentive to purchase or resell or recommend a particular Product; and
 - (5) The PFPE provided for IF or iFSMP is not to be resold or taken for personal use by the HCP or their staff.

f. In the case of requests for advice on personal medical matters pertaining to an Infant, the enquirer must always be advised to consult an HCP. Medical advice relating to a specific infant should never be provided by HiPP and its distributors. Answering questions on Product composition and preparation is allowed.

5.8 Supplies of IF to healthcare facilities

- a. Healthcare facility supplies of IF should be made only to and on request of a healthcare facility and in accordance with a healthcare facility's invoicing and payment process.
- b. Healthcare facility supplies of IF should be provided in quantities determined by an established process to be reasonable and intended for primary use at the requesting HCF only by Infants who, pursuant to medical advice, have to be fed with Products during their stay at the HCF.
- c. Healthcare facility supplies of IF should not be provided as an incentive to HCPs, or accompanied by other incentives directed at HCPs, to purchase or use a particular Product or to purchase or use other products offered by HiPP and its distributors regardless of whether those other products are subject to this Code.

6 OTHER CONTRACTED SERVICES

When contracting HCPs or HCOs as consultants, whether in groups or individually, for services not otherwise covered by this Code on Interaction with HCPs, such as speaking at and/or chairing meetings, involvement in medical/scientific studies, clinical trials or training services, participation at advisory board meetings, and participation in market research, and where such participation involves remuneration and/or hospitality, the following conditions should be met by HiPP and its distributors:

- (1) These services are provided for the purpose of supporting healthcare, research or education and do not constitute an inducement to recommend, prescribe, purchase, supply, use or sell specific Products.
- (2) A written contract, which is agreed in advance of the commencement of the services, specifies the nature of the services to be provided and the amount and basis for payment of those services.
- (3) The service providers declare that they are consultants of HiPP or its distributor, whenever they write or speak in public about a matter that is the subject of the agreement. Service providers should disclose their service agreement to their employer, or as required by law. This obligation is stipulated in the written contract.

- (4) The number of consultants retained, and the extent of the service should not be greater than reasonably necessary to achieve the identified need, and the remuneration for the services reflects the fair market value of the services provided.

7 DEFINITIONS AND ABBREVIATIONS

Educational and/or Informational Materials means any material, whether written, oral, or visual, that includes information which is intended for HCPs exclusively, with the purpose to provide them with new knowledge or skills or to refresh, or enhance their knowledge or skills about nutrition, healthcare, growth or development of infants, as well as the management of certain medical conditions as regards or in connection with nutrition. These materials are used at international and national congresses events/symposia and in health care facilities.

Healthcare Facility means any facility where health care is provided to pregnant women, new mothers and infants. This includes facilities where Healthcare Professionals provide health care in private practice but does not include private homes or pharmacies or other established sales outlets.

Healthcare Organisation (HCO) means any legal person/entity (i) that is a healthcare, medical or scientific association or organisation (irrespective of the legal or organisational form) such as a hospital, clinic, foundation, university, or other teaching institution or learned society, or (ii) through which one or more HCPs provide services.

Healthcare Professional (HCP) means any member of the medical, dental, pharmacy, midwifery, dietetic, nutrition and nursing professions, or students thereof, or any other person who may prescribe, purchase, supply, recommend or administer a nutritional or medicinal product. For the purpose of this definition, the terms “profession” or “professional” also refer to voluntary unpaid workers who provide healthcare services on a regular basis (i.e., not merely occasionally)

Infant means a person from 0 to 12 months of age.



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Label means any tag, brand, mark, pictorial or other descriptive matter, written, printed, stencilled, marked, embossed or impressed on, or attached to the packaging or container of food.

Lifelong Learning in Specialised Nutrition & Healthcare means events, activities and initiatives (e.g. symposia) that are aimed at refreshing, updating or increasing the medical and scientific knowledge and competence of HCPs with the purpose of enhancing their healthcare practice and improving infant health outcomes. When relevant, and in particular as regards iFSMPs, these activities also cover topics such as the relevant medical condition and the safety, suitability and efficacy of the Product for that medical condition, as well as the evaluation of patients' tolerance, acceptability, and clinical response.

- **Continuous Medical Education (CME)** is one of three categories (category 1) of Lifelong Learning in Specialised Nutrition & Healthcare. CME means certified educational programs which serve to maintain the professional certification of HCPs. For example, CME is accredited by certified providers such as The European Accreditation Council for CME (EACCME®). CME is usually organised under the direction and supervision of accredited providers such as professional societies, Healthcare Organisations, educational providers, or other independent stakeholders.
- Lifelong Learning through collaborations or partnerships is one of three categories (category 2) of Lifelong Learning in Specialised Nutrition & Healthcare. The collaborations or partnerships are between HiPP and its distributors and independent providers led by professional societies, HCOs, educational providers, or other independent stakeholders.
- Lifelong Learning provided by HiPP and its distributors is one of three categories (category 3) of Lifelong Learning in Specialised Nutrition & Healthcare. It may address human health, and disease-specific learning needs. These activities may involve HCPs, scientific committees, and/or independent scientific and professional organisations. Ownership, accountability and funding remains with HiPP and its distributors.

Products means the following products:

- **Infant Formula (IF)** means food used by Infants during the first months of life and satisfying by themselves the nutritional requirements of such Infants until the introduction of appropriate complementary feeding.
- **Follow-on Formula (FOF)** means food used by Infants when appropriate complementary feeding is introduced and constituting the principal liquid element in a progressively diversified diet of such Infants.

- ***Foods for Special Medical Purposes intended for Infants (iFSMPs)*** means foods specifically processed or formulated and intended for the dietary management of Infants, to be used under medical supervision. They are intended for the exclusive or partial feeding of patients with a limited, impaired or disturbed capacity to take, digest, absorb, metabolise or excrete ordinary food or certain nutrients contained therein, or metabolites, or with other medically determined nutrient requirements, whose dietary management cannot be achieved by modifications of the normal diet alone.

Product for Professional Evaluation (PFPE) means a small quantity of a Product provided at no cost to HCPs for the purpose of allowing them to become more familiar with a Product and, when relevant, and in particular in the case of an iFSMP, to evaluate a patient's tolerance, acceptability and clinical response.

Promotion means the dissemination of useful information to HCPs regarding Products and brands. This information may cover, for example, the characteristics of Products and brands, their intended use and conditions of use, and claims and health benefits.

Promotional Material means any material, whether written, oral, or visual, intended to support the Promotion of Products and/or brands.

Research Activities means any laboratory research, clinical studies, health economic studies, market research and surveys regarding the Products.

8 RAISING CONCERNS

The HiPP Code on Interaction with Healthcare Professionals defines and sets the standard for any interaction of HiPP and its distributors with HCPs. In case employees of HiPP or its distributors as well as external stakeholders are aware of any breach or potential breach of the HiPP HCP Code, please consult your direct point of contact or line manager at HiPP, or the HiPP Compliance manager at compliance@hipp.de

Should employees or any other stakeholder prefer confidential reporting, the HiPP Whistleblowing System can be used – also anonymously if needed: <https://hipp.secureveal.com>